

# Towards real-time digital pulse process algorithms for CsI(Tl) detector array at External Target Facility in HIRFL-CSR\*

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A fully digital data acquisition system based on a field-programmable gate array (FPGA) was developed for a CsI(Tl) array at the External Target Facility (ETF) in the Heavy Ion Research Facility in Lanzhou (HIRFL). To process the CsI(Tl) signals generated by  $\gamma$ -rays and light-charged ions, a scheme for digital pulse processing algorithms is proposed. Every step in the algorithms was benchmarked using standard  $\gamma$  and  $\alpha$  sources. The scheme, which included a moving average filter, baseline restoration, leading-edge discrimination, moving window deconvolution and digital charge comparison was subsequently implemented on the FPGA. A good energy resolution of 5.7% for 1.33 MeV  $\gamma$  rays and excellent  $\alpha$ - $\gamma$  identification using the digital charge comparison method were achieved, which satisfies CsI(Tl) array performance requirements.

Keywords: CsI(Tl) array, on-line digital algorithms, moving average filter, moving window deconvolution, on-line particle identification algorithms

## I. INTRODUCTION

The structure of atomic nuclei near drip lines is one of the most fascinating fields for nuclear physicists, and has continuously attracted researchers to build large facilities for experimental studies [1, 2]. One such facility is the External Target Facility (ETF) at the Heavy Ion Research Facility in Lanzhou (HIRFL) [3]. The ETF is a large integrated experimental platform for nuclear physics research. It comprises several detector systems and can provide complete kinematic measurements of nuclear reactions at intermediate and high energies [3–7]. The CsI(Tl) array, consisting of 1024 CsI(Tl) detectors, is one of the most important detector systems in the ETF. It can measure  $\gamma$ -rays up to 10 MeV in the center-of-mass (CM) frame [8]. Such a design, an inorganic scintillation array with high granularity, is also used in many detector arrays, such as DALI2 at RIKEN [9, 10] and CALIFA at FAIR [11, 12], and it enables a relatively good energy resolution of the  $\gamma$ -rays to be obtained owing to its good angular resolution [10].

In combination with silicon strip detectors, the CsI(Tl) array at the ETF can also be used to measure light-charged particles. However, the energy loss of light-charged particles in CsI(Tl) crystals is several tens of times greater than that of  $\gamma$ -rays. To satisfy the highly dynamic requirements of the measurements, the electronic system was updated. High-granularity detectors require highly integrated electronic systems [13–16]. The traditional solution, also known as the previous scheme of the CsI(Tl) array, uses application-specific integrated circuit (ASIC) chips for signal process-

ing [17]. However, these chips are highly customized and it is difficult to extend their functionality. Waveform digitization based on flash analog-to-digital converters (ADC) has been developed for over two decades. The analog signals extracted from the detector or charge sensitive amplifier (CSA) are directly digitized. Therefore, a minimal loss of signal information can be achieved using a much simpler circuit. Other advantages include a higher sustained count rate, flexibility through a variety of digital pulse process (DPP) algorithms, and compact structures, which facilitate the development of a highly integrated system. Owing to these aforementioned advantages, a fully digital technique was chosen as the solution for a new measurement system for the CsI(Tl) array.

However, this scheme has one drawback. The digitization of the entire waveform means that a significant amount of data must be read. For a one-channel signal digitized by a 14-bit flash ADC with a sampling frequency of 50 MS/s, the data rate was approximately 83.4 MByte/s. When this flash ADC is used for the CsI(Tl) array at the ETF, the amount of data is extremely large, and the transmission bandwidth of the Data Acquisition (DAQ) system may be overloaded. Zero suppression should be performed after wave digitization to reduce data transmission pressure. Using DPP algorithms in the onboard field-programmable gate array (FPGA) to extract specific waveform information, such as the amplitude and arrival time, the entire amount of waveform sampling data can be reduced to a few physical quantities, further reducing the data volume. Moreover, owing to conflicts between the FPGA limited computational resources and the large number of detector channels, the new electronic system may require a compromise in precision with somewhat uncomplicated DPP algorithms, and these algorithms are the focus of this study.

The remainder of this paper is organized as follows: Section II concentrates on general considerations regarding which procedures should be executed in the FPGA and in what order. Section III lists the specific DPP algorithms for each procedure and discusses the rationale for algorithm se-

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67 lection through bench testing. Section IV presents the final  
 68 DPP algorithms scheme in the FPGA and discusses their per-  
 69 formance after implementing all the algorithms in the FPGA.  
 70 Section V summarizes the study findings with an outline of  
 71 future research directions.

## 72 II. GENERAL CONSIDERATION OF DPP ALGORITHMS

73 In nuclear physics, signals from detectors are completely  
 74 random. This indicates that DPPs for the CsI(Tl) array should  
 75 perform well in the time domain [18]. As a calorimeter, the  
 76 critical physical quantity measured by the CsI(Tl) array is the  
 77 energy of the incident gamma rays or light ions. Thus, the  
 78 method for obtaining energy information from the recorded  
 79 waveform of the detector is the most critical aspect of this  
 80 study, and energy resolution is a key criterion for DPP algo-  
 81 rithms. Further algorithms such as a smooth filter and base-  
 82 line recovery were used to achieve a good Signal to Noise  
 83 Ratio (SNR) value and energy resolution. The signal arrival-  
 84 time information is responsible for generating a system trig-  
 85 ger. It also helps to reduce the background noise by selecting  
 86 appropriate time windows for off-line data analysis [19].

87 CsI(Tl) crystal has been used for many years to identify  
 88 light ions and gamma rays using pulse-shape analysis. This is  
 89 because the responses of the CsI(Tl) crystal vary with differ-  
 90 ent types of particles, resulting in different waveform shapes  
 91 being generated by the CsI(Tl) detector [20–24]. In the case  
 92 of a CsI(Tl) array with high spatial coverage and large gran-  
 93 ularity, the gamma rays and light ions can strike different el-  
 94 ements of the detector simultaneously and form different hit  
 95 clusters. When the energy spectrum is reconstructed by some  
 96 algorithms, such as the add-back technique, the spectrum be-  
 97 tween the charged particles and the gamma rays may be su-  
 98 perimposed. Thus, clear separation of the gamma rays and  
 99 charged particles can result in a good gamma energy spectrum  
 100 with a lower background of charged particles. Pulse-shape  
 101 analysis can improve the performance of particle identifica-  
 102 tion(PID) compared to the traditional  $\Delta E$ -E method [25].  
 103 In some situations, when the atomic number Z of the mea-  
 104 sured ions is less than four, pulse shape analysis can also  
 105 help simplify the detector setup because the CsI(Tl) detec-  
 106 tor can perform identification by itself [26–28]. Considering  
 107 the aforementioned advantages, on-line algorithms for pulse  
 108 shape analysis are also in demand.

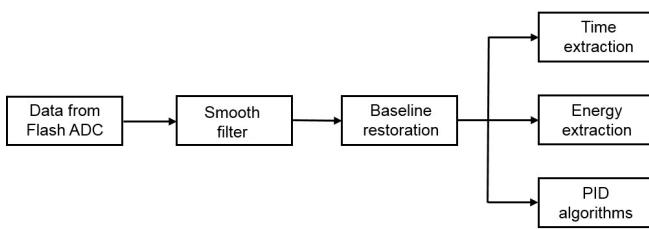


Fig. 1. DPPs in FPGA for the CsI(Tl) array of ETE.

109 Overall, In this study, DPP algorithms are organized in the  
 110 FPGA in the manner shown in Figure 1. The final outputs

111 were the arrival time, energy, and quantities representing the  
 112 incident particles PID results. Each process as shown in Fig-  
 113 ure 1 is described in detail in the following sections.

## 114 III. ALGORITHMS SELECTIONS WITH OFF-LINE 115 ANALYSIS

116 To identify suitable algorithms for each process shown in  
 117 Figure 1, a test bench including an element of CsI(Tl) array  
 118 and the DAQ system was set up. The DAQ system is an inter-  
 119 mediate development product of the CsI(Tl) array that con-  
 120 tains a CSA module and a DAQ board. A 14 bit flash ADC  
 121 with a sampling rate of 50 MS/s was embedded in the DAQ  
 122 board to digitize the signal from the CSA module. The DAQ  
 123 board can be operated in two modes: raw waveform mode, in  
 124 which the Flash ADC data are recorded directly into PC mem-  
 125 ory, and algorithm mode, in which the data are processed by  
 126 algorithms in FPGA with only the results recorded. Because  
 127 the DPP algorithms are not specified, the test bench is oper-  
 128 ated in the raw waveform mode to select the appropriate algo-  
 129 rithms for the CsI(Tl) array. The criteria for selecting suitable  
 130 algorithms are based on the tradeoff between performance,  
 131 FPGA source consumption, and execution speed. The Flash  
 132 ADC sampling rate was set to 25 Ms/s in the FPGA because  
 133 the data volume was too large for the raw waveform mode.

### 134 A. Smooth filter

135 Because the detector signals are always distorted by ran-  
 136 dom noise, the goal of this procedure is to reduce the high-  
 137 frequency noise while not significantly changing the detector  
 138 signals to preserve the signal characteristics and improve the  
 139 data SNR. This procedure was performed initially because it  
 140 may slightly alter the raw waveform and affect the perfor-  
 141 mance of the other procedures.

142 The moving average filter (MAF) is the most commonly  
 143 used smooth filter in the time domain owing to its sim-  
 144 plicity, ease of implementation, and rapid execution speed.  
 145 In addition to the aforementioned advantages, it offers the  
 146 lowest noise for a given edge sharpness for any linear fil-  
 147 ter [18]. Other optional filters include the Savitzky–Golay  
 148 [29], binomial [28, 30], Whittaker [31], and the Kalman fil-  
 149 ters [32, 33]. Although these smooth filters perform well in  
 150 multiple fields such as Raman and Mössbauer spectroscopy,  
 151 complex algorithms make them difficult to implement in the  
 152 FPGA. For this reason, the MAF was chosen as a smooth fil-  
 153 ter for the CsI(Tl) array.

154 The only parameter for MAF is the number of samples av-  
 155 eraged over. Its value should be chosen with care because as  
 156 the value increases, the noise decreases, while the edges be-  
 157 come less sharp. The aforementioned test bench, which was  
 158 operated using cosmic rays, was used to acquire raw wave-  
 159 form data, and the MAF algorithms were executed with dif-  
 160 ferent parameter values in the off-line analysis. The results  
 161 are presented in Figure 2. The reason for using a power of  
 162 two for the parameter values is that the division is simpler to

163 implement in the FPGA using only shift operations. Finally,  
 164 owing to its good performance in high-frequency noise reduc-  
 165 tion and small waveform changes, the value of the parameter  
 166 was set as equal to 8.

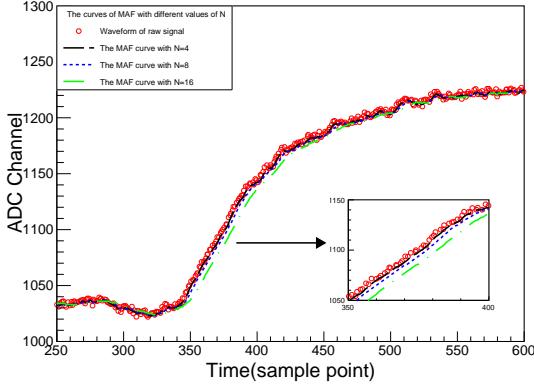


Fig. 2. (Color online) Comparison among MAF results with different values of  $N$ . Inset illustrates leading edges in the picture.

## B. Baseline restoration

167 In many cases, the baseline is assumed constant at all times.  
 168 This enabled the baseline value to be measured at any time  
 169 while the DAQ system was idle during the experiment. How-  
 170 ever, this assumption is only true when the signal length is  
 171 short and the count rate is relatively low. This is certainly  
 172 not the case in this instance, because the CSA signal ex-  
 173 tracted has a long tail. A good solution is to use optimal  
 174 filters [34]. However, complicated arithmetic discourages us  
 175 from proceeding further. To simplify the procedure, it was  
 176 assumed that the baseline remained constant within each se-  
 177 lected dataset, which contained only one signal waveform  
 178 with several baseline data points in front. This baseline data  
 179 can subsequently be used to calculate the baseline value of  
 180 each individual event. Reference [35] showed that two values,  
 181 the average and median of the baseline data, can be used to  
 182 evaluate the baseline level. The method for obtaining average  
 183 baseline data is clear. The median is the exact quantity in the  
 184 middle of the baseline dataset when ordered. Reference [35]  
 185 showed that the median is a better estimate of the baseline  
 186 level than the average over a wide range of count rate loads.  
 187 The other two methods, which are called "averaging over the  
 188 selection set" and "averaging over the flat chunk selection set"  
 189 respectively, are also introduced in [35]. These two methods  
 190 are identical in terms of how to proceed, albeit differ in terms  
 191 of data selection. Further detail regarding both methods can  
 192 be found in [35], and the main procedures are summarized  
 193 in the flowchart shown in Figure 3. Over a wide range of  
 194 count rate loads, these two methods provide better estimates  
 195 of the baseline than either the average or the median alone.  
 196 In this case, because the baseline dataset has already been  
 197 selected, the procedure list in Figure 3 can be processed di-

198 rectly. Therefore, both methods are treated as a single method  
 199 and are hereafter called iterative methods.

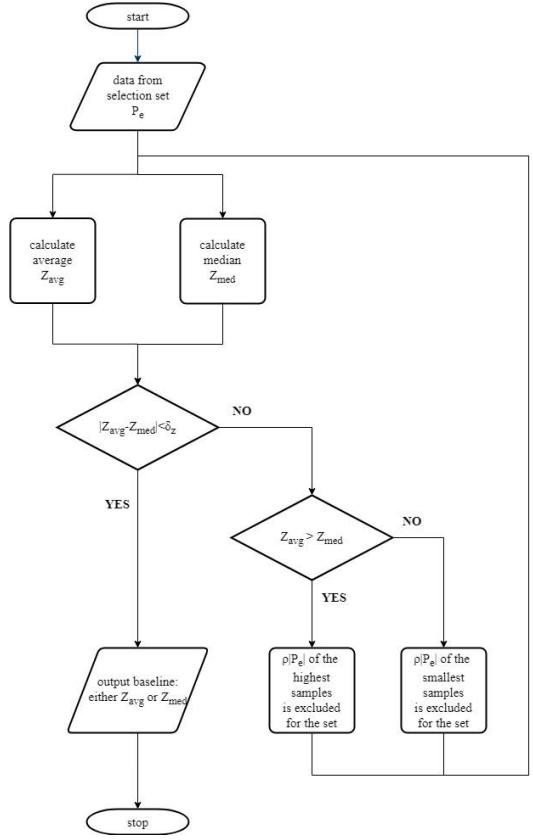


Fig. 3. The main procedures of the methods, averaging over the selection set and averaging over the flat chunk selection set, from [35].

200 Here, there are three methods: the average of the base-  
 201 line dataset, median of the baseline dataset, and the iterative  
 202 method. To evaluate performance, the baseline samples in the  
 203 data used in the previous section were processed using these  
 204 methods. The results of the baseline recoveries are shown  
 205 in Figure 4. The discrete and continuous histograms are dis-  
 206 played using the median and average methods, respectively,  
 207 because the data types for these two methods are "int" and  
 208 "float." The histogram obtained using the iterative method  
 209 is similar to that obtained using the median method. The  
 210 only difference is the width of each discrete part, which is  
 211 equal to twice the minimum difference parameter set in the  
 212 algorithm ( $\delta_z$  in Figure 3, here the value is 0.1, further details  
 213 can be found in [35]). Gaussian functions were used to fit the  
 214 envelopes of the three histograms; the results are listed in Ta-  
 215 ble 1. Because the data are all integers for the median method,  
 216 the most probable value(MPV) of the histogram is treated as  
 217 zero and not the mean fitting parameter. From a comparison  
 218 of the MPVs of the three methods, it can be concluded that all  
 219 three methods are good estimators of the baseline levels, and  
 220 therefore, fully meet the performance requirements

221 Algorithms subsequently become the focus of the selection  
 222 criteria. The iterative method was the most complex of the

224 three methods. However, this method is powerful because  
 225 it can continue to provide reasonable baseline values when  
 226 the signal samples are also included the dataset [35]. The  
 227 median method is simpler, albeit the data ranking algorithm  
 228 is not "FPGA friendly." Therefore, for the CsI(Tl) array DAQ  
 229 system, the average method is preferred.

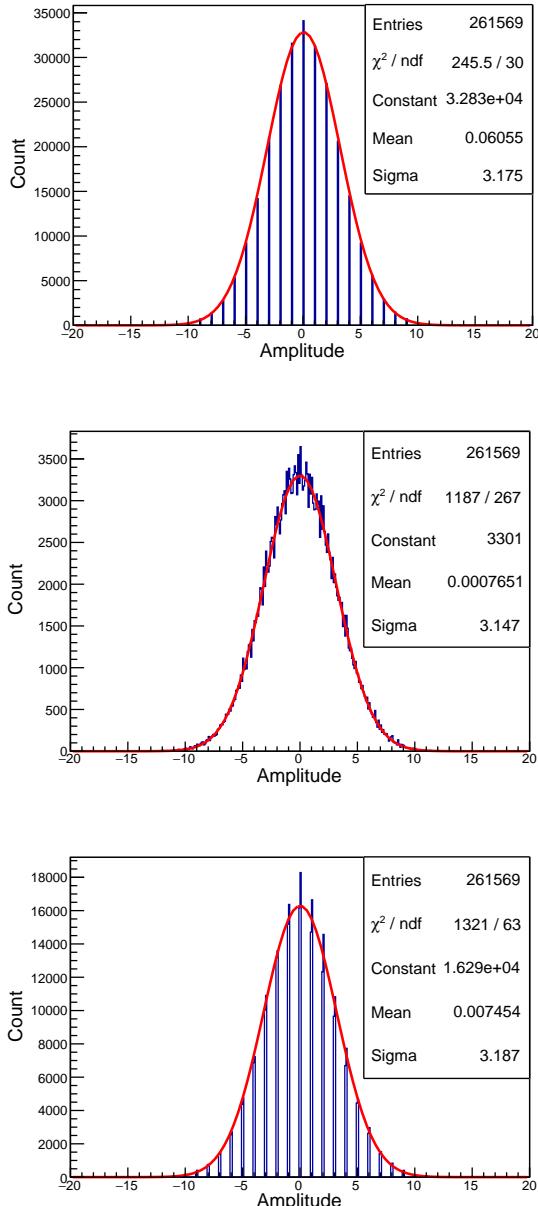


Fig. 4. Typical results of baseline restorations obtained with the following algorithms: (a) Median, (b) Average, and (c) Iteration.

TABLE 1. Fitting parameters of the three methods.

Methods	MPV	Sigma
Median	0	3.175
Average	0.0008	3.147
Iteration	0.007	3.187

230

### C. Arrival time

231 According to the ETF design scheme, the CsI(Tl) array was  
 232 intended to generate a trigger for the entire system. With the  
 233 complete digitization of the input waveform and the powerful  
 234 calculation capability of the FPGA, the CsI(Tl) array trigger  
 235 signal can be generated and controlled by software. This sim-  
 236 plifies the electronic system by eliminating the necessity for  
 237 additional electronics, such as splitters and time discrimina-  
 238 tors.

239 An ETF trigger system consists of 2-level trigger genera-  
 240 tors [36]. At the front end, primary trigger signals were gen-  
 241 erated according to the signal shapes and logical relationships  
 242 between the readout elements of a particular detector included  
 243 in the trigger system. These primary trigger signals were sub-  
 244 sequently fed into the global trigger logical unit to generate  
 245 an event trigger signal for the entire system according to the  
 246 physical interests. To improve logic operation effectiveness  
 247 for signals with different delay times and time jitter, each pri-  
 248 mary trigger signal can be delayed and widened separately  
 249 in a global trigger logical unit. From this perspective, time  
 250 resolution is not the key element for the CsI(Tl) array.

251 Several methods are available to determine the arrival time  
 252 of a signal. Common methods, which are identical to those  
 253 in the analog scheme, are leading-edge discrimination (LED)  
 254 and constant fraction discrimination(CFD). LED is the sim-  
 255 plest method; however, it has a large time jitter owing to  
 256 the time-walk effect. To achieve better performance, cor-  
 257 rection should be performed, which is a significant task for  
 258 the FPGA programmer. Therefore, CFD was introduced to  
 259 eliminate the time walk effect. There are two methods for  
 260 implementing this algorithm in an FPGA: constant-fraction  
 261 zero-crossing (CFDzc) and digital contact-fraction discrimi-  
 262 nation (dCFD) [37]. CFDzc is a digital version of the classic  
 263 analog CFD [38], whereas dCFD is similar to an LED with a  
 264 different threshold value, equal to the constant fraction of the  
 265 signal amplitude [39]. Better performance in terms of time  
 266 resolution was obtained with the CFDzc method than with  
 267 the dCFD method [40]. Other methods exist for determining  
 268 the arrival time of a signal, such as the RC-CR2 filter [41] and  
 269 pulse-shape fitting. However, utilization of these algorithms  
 270 is resource-intensive in the FPGA and considered outside the  
 271 scope of this research.

272 Because time resolution is not the key criterion for the  
 273 CsI(Tl) array, the LED method without interpolation was cho-  
 274 sen to determine the arrival time, considering the expected  
 275 high computational resource consumption of the energy ex-  
 276 traction and PID procedures. Figure 5 shows the relationship  
 277 between the amplitude of the waveform and arrival time using  
 278 the previous data. The arrival time is the difference between

279 the reference time and the time when the first sample point  
 280 is above the threshold. The time reference was selected as  
 281 the point at which the ADC waveform passed through 90%  
 282 of its full amplitude at the leading edge. Linear interpolation  
 283 was used to reduce the reference time jitter. A clear depen-  
 284 dency between the waveform amplitude and the arrival time  
 285 is shown in Figure 5. Another phenomenon is that the lower  
 286 the waveform amplitude, the greater the time jitter. This is  
 287 because a low amplitude causes the leading edge of the wave-  
 288 form to become flat, and it is difficult to determine the time at  
 289 which the waveform crosses the threshold and the time refer-  
 290 ence. Although the time jitter is as large as approximately  
 291 3  $\mu$ s when the waveform amplitudes are extremely small,  
 292 this may not be useful because a threshold can be set in the  
 293 FPGA to determine the recorded signals. The time jitter of  
 294 the waveforms with relatively large amplitudes was approxi-  
 295 mately 342ns(the  $\sigma$  value with a Gaussian fit).

296 Further analysis can be performed with time-walk correc-  
 297 tion, which was not implemented in the FPGA in the pro-  
 298 posed scheme. A cubic polynomial, shown in Figure 5 with a  
 299 red solid line, was used to fit the 2-dimension histogram. The  
 300 arrival time histograms with and without time-walk correc-  
 301 tion are shown in Figure 6. Good correction can be obtained  
 302 with small waveforms, and the time jitter is approximately  
 303 344 ns(the  $\sigma$  value with a Gaussian fit), which is almost iden-  
 304 tical the value obtained with large waveforms.

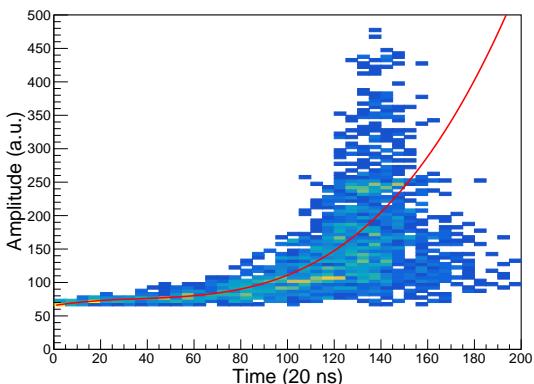


Fig. 5. The relationship between waveform amplitude and time obtained with LED method. Red line shows the fit result with a cubic polynomial, used to correct the time jitter.

#### D. Energy

305 In general, two quantities are used to extract the energy  
 306 loss from the detector: the amplitude and total charge of the  
 307 signal. These two quantities were measured using ADCs and  
 308 charge-to-digital converters (QDCs) in a conventional DAQ  
 309 system. Using a digital approach, these measurements are  
 310 replaced by appropriate algorithms in the FPGA. However,  
 311 the signal extracted from the CSA was too wide, indicating  
 312 that the digital QDC method was not suitable for the CsI(Tl)  
 313 array.

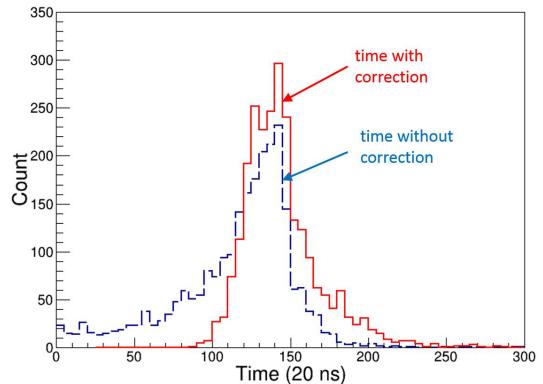


Fig. 6. (Color online) Time spectra obtained using LED technique. Blue dash line is the spectrum without time correction, red solid line is the spectrum with time correction.

315 The most direct approach to extract the signal amplitude  
 316 is to determine the maximum( positive signal) or minimum(317  
 318 negative signal) waveform. However, the measured ampli-  
 319 tudes were significantly influenced by noise. To improve  
 320 the energy resolution, digital filters, which perform the same  
 321 function as shaping amplifiers in an analog measurement sys-  
 322 tem, were used to shape the signal before amplitude extrac-  
 323 tion. Theoretically, the best signal shape that maximizes the  
 324 SNR is the infinite-width cusp [42]. However, a practical fil-  
 325 ter of this type is the finite-width cusp filter that limits the  
 326 amplitude measurement of a single signal to a specific time.  
 327 The algorithm uses a different function instead of an infinite  
 328 exponential function and performs truncation [43, 44], which  
 329 means that the performance is reduced. Other commonly used  
 330 shaping filters include a series of trapezoidal [45–51] and  
 331 CR-RC<sup>m</sup> filters [52–54]. To identify a suitable shaping fil-  
 332 ter, the  $\gamma$ -rays produced by a <sup>60</sup>Co source were measured on  
 333 a test bench operating in waveform mode, and the recorded  
 334 data were processed with various digital filters. The wave-  
 335 forms before and after applying the shaping filters are shown  
 336 in Figure 7. All parameters in each filter were optimized us-  
 337 ing repeated trials. The energy resolutions of the full-energy  
 338 peaks for 1.33 MeV  $\gamma$ rays were calculated as the criterion,  
 339 and the results are shown in Table 2. The energy resolutions  
 340 achieved by each filter were comparable with the performance  
 341 of the finite cusp filter being slightly better. This is because  
 342 the noise in the output test was extremely low, and the SNR  
 343 values did not improve significantly. It should be noted that  
 344 the noise level in the test is of the same order of magnitude  
 345 as that of the ETF, indicating that all filters meet the require-  
 346 ments in terms of performance.

346 In [55], it is concluded that the family of trapezoidal  
 347 filters offers good performance and simpler implementa-  
 348 tion among many other shaping filters, which influences this  
 349 choice. Many algorithms exist for the implementation of  
 350 trapezoidal filters, of which moving-window deconvolution  
 351 (MWD) [49–51] is the simplest for FPGA implementation.  
 352 Therefore, the MWD filter was selected as the shaping fil-  
 353 ter for the CsI(Tl) array, and the corresponding <sup>60</sup>Co energy

354 spectrum is indicated by the red dashed line in Figure 12.

355

### E. PID algorithms

356 As previously mentioned, performing a pulse shape analy-  
 357 sis for the CsI(Tl) array can reduce the background of charged  
 358 particles in the energy spectrum of  $\gamma$ -rays and improve the  
 359 PID performance through a combination with  $\Delta E$ -E method.  
 360 The basis of pulse shape analysis is that the ratio of the fast  
 361 and slow components of light generated by the CsI(Tl) crys-  
 362 tal depends on the type of incident particles, resulting in dif-  
 363 ferent shapes of the output waveform. Multiple methods ex-  
 364 ist for extracting these differences, among which the digital  
 365 charge comparison [56–59] and rise-time comparison meth-  
 366 ods [59, 60] are widely used and straightforward to imple-  
 367 ment in the FPGA. Another method worth focusing on is  
 368 called reconstructive particle identification (RPID) [51]. One  
 369 reason is that this method was developed for CALIFA, whose  
 370 construction is similar to the CsI(Tl) array. The RPID method  
 371 can be successfully migrated into the DAQ system with sig-  
 372 nificant potential. Another reason is that RPID can directly  
 373 extract the fast and slow components of the CsI(Tl) crystal,  
 374 thereby achieving a more improved performance.

375 To compare these PID algorithms, a triple  $\alpha$  source  
 376 ( $^{244}\text{Cm}$ ,  $^{239}\text{Pu}$ , and  $^{241}\text{Am}$ ) and a  $^{60}\text{Co}$  source were used  
 377 separately to irradiate the elemental detector of the CsI(Tl)  
 378 array under identical conditions. The CsI(Tl) crystal enve-  
 379 lope was pierced with a small hole to allow the  $\alpha$ -particles to  
 380 access the crystal. With respect to the digital charge compar-  
 381 ison method, because the input signals are extracted from the  
 382 CSA, which results in information on the incident particles  
 383 being contained in the leading edges of the digitized wave-  
 384 forms, both time windows for charge integration were set to  
 385 include the leading edge of the waveform with the same start-  
 386 ing point, as shown in Figure 8. The starting point is deter-  
 387 mined using the dCFD method without interpolation. The in-  
 388 tegration windows size was determined through repeated tri-  
 389 als. With respect to the rise-time comparison method, the rise  
 390 time is defined as the time frame between the points where  
 391 the waveforms cross 12.5 and 87.5% of the full amplitude in  
 392 the leading edges. Because the method performance is cor-  
 393 related with the time accuracy, the linear interpolation tech-  
 394 nique was used to determine the cross-points. Regarding the  
 395 RPID method, some parameters are identical to those of the  
 396 MWD filter previously described, with three additional pa-  
 397 rameters: the fast and slow decay times of the CsI(Tl) crystal  
 398 and the time window for the second MWD procedure. The  
 399 fast and slow decay times are in accordance with [51], be-  
 400 cause they are almost constants. The length of the second  
 401 time window was determined using repeated trials.

402 The two-dimensional PID spectra for each method are  
 403 shown in Figure 9. Superficially,  $\alpha$  and  $\gamma$ -rays were well  
 404 identified for all three methods. The spectrum shown in  
 405 Figure 9(a) illustrates that the rise time and the amplitude  
 406 of the waveform are weakly correlated and can be consid-  
 407 ered independent. The red dashed lines in Figures. 9(b)  
 408 and (c) indicate that the spectra approach zero when both

409 the horizontal and vertical axes are reduced. These rela-  
 410 tionships indicate that the PID parameters listed in Table 3  
 411 can be used to convert two-dimensional spectra into one-  
 412 dimensional histograms, and the figure-of-merit (FoM), as  
 413 defined in [61, 62], can be used to quantify the separation  
 414 performance of the PID methods. These one-dimensional his-  
 415 tograms are shown in Figure 10 and the relevant FoMs are il-  
 416 lustrated. Although data in the low-energy regions, which can  
 417 make the FoM values larger than those in the beam experi-  
 418 ments, were not included, the FoM values shown in Figure 10  
 419 remain good references for evaluating these three methods.  
 420 Of the three methods, the rise time comparison achieved the  
 421 worst score, which is consistent with the results in [28]. This  
 422 is because the accuracy of the rise time deteriorated as the am-  
 423 plitude of the input signal decreased. Surprisingly, the RPID  
 424 method does not work as well as the digital charge compari-  
 425 son method. One reason for this is the approximate treatments  
 426 in the algorithm implementation process, such as calculating  
 427 the exponential function. Moreover, it was identified that the  
 428 decay time of the fast component in the CsI(Tl) array depends  
 429 on the type of incident particles (more precisely, on the aver-  
 430 age energy density deposited in the crystal) [22, 63] and even  
 431 on the total energy of the particles [20, 21], while the slow  
 432 component decay time does not. If this is the case, RPID may  
 433 not be an accurate method because of the underlying assump-  
 434 tion of constant decay time. However, this remains sufficient  
 435 for the separation of  $\gamma$ -rays and light-charged particles.

436 Overall, the aforementioned descriptions support the con-  
 437 clusion that the digital charge comparison method is preferred  
 438 owing to its good performance and ease of FPGA implemen-  
 439 tation. Performance of the RPID method lags slightly; how-  
 440 ever, the complexity of this algorithm rules this option out.  
 441 The rise-time comparison method had the worst performance  
 442 among the three methods; however, the result remains accept-  
 443 able, making it an alternative option to the digital charge com-  
 444 parison method.

## IV. FINAL SCHEME AND PERFORMANCES OF DPP ALGORITHMS IN THE FPGA

445 Considering these aforementioned points, the final DPP al-  
 446 gorithm scheme which includes a moving average filter, base-  
 447 line restoration, leading-edge discrimination, moving win-  
 448 dow deconvolution, and digital charge comparison, is formed.  
 449 Thus, the diagram in Figure 1 can be improved as shown in  
 450 Figure 11. All DPP algorithms are "FPGA friendly," and fur-  
 451 ther simplification can be achieved for the MAF and MWD  
 452 by changing the algorithms to the recursive form. The algo-  
 453 rithms were implemented in the DAQ system FPGA, com-  
 454 pleting the algorithm mode. The sampling rate was reset to  
 455 50 MS/s because the amount of data is significantly reduced.  
 456 Subsequently, all procedures in Figure 11 are retested in this  
 457 mode with the same radioactive sources used in Section III E.  
 458 The energy spectra are shown in Figures. 12 and 13. A  
 459 small shift can be observed between the two energy spectra  
 460 of the  $^{60}\text{Co}$  source compared to the result obtained in the raw  
 461 waveform mode. This is because of the rounding operation

TABLE 2. The performances of different shaping filters.

Filters	Energy resolution (FWHM, 1.33MeV)	References
Cusp	5.58%	[43]
Trapezoidal (single exponential function)	5.74%	[47]
Trapezoidal (bi-exponential function)	5.85%	[47]
Trapezoidal (MWD)	5.67%	[51]
CRpz-RC2	5.70%	[53]
CRpz-RC4	5.79%	[53]

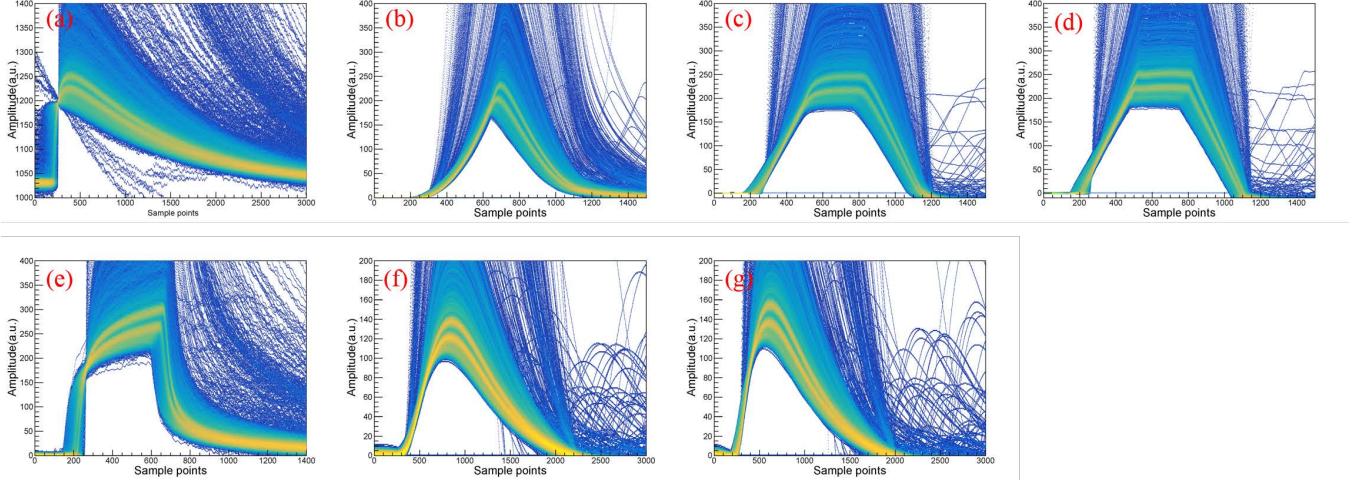


Fig. 7. (Color online) Shapes of raw waveform (a) and those modified by the following filters: (b) cusp, (c) trapezoidal with a single exponential function, (d) trapezoidal with a bi-exponential function, (e) MWD, (f) CRpz-RC2, and (g) CRpz-RC4.

TABLE 3. The PID functions for three PID methods.

Methods, Digital charge comparison, Rise-time comparison, and RPID	$PID = \frac{Q_s}{Q_l}$	$PID = t_{rise}$	$PID = \frac{N_f}{N_s}$
PID functions			

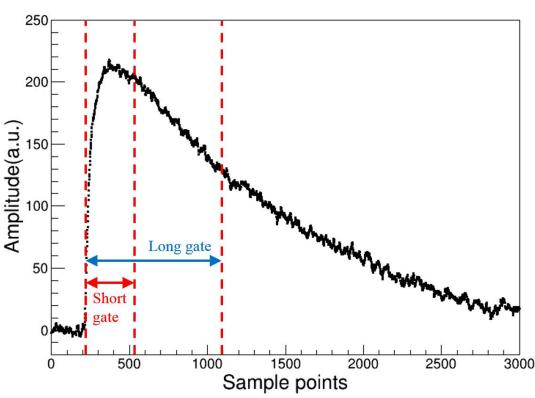


Fig. 8. Two integration windows in digital charge comparison method.

464 in the FPGA algorithm and changes in the DAQ system sam-  
465 pling frequencies. However, the energy resolutions for the

466 1.33 MeV full energy peak are almost unchanged.

467 The performance of the PID controller with the on-line al-  
468 gorithms is shown in Figure 14. Compared with the same  
469 results obtained from the raw waveform mode shown in Fig-  
470 ure 10, almost the same positions where the peaks of the PID  
471 parameters are located can be found using the rise time and  
472 charge comparison methods. There is a slight improvement  
473 in the FoM parameter when comparing the charge compari-  
474 son methods. This is owing to an improvement in the DAQ  
475 system sampling frequency. For the rise time method, the  
476 FoM parameter shows little differences. The reason for this  
477 is the rounding operation for the final result in the FPGA.  
478 Therefore, it can be concluded that only a minimal per-  
479 formance difference exists between the on-line and off-line DPP  
480 algorithms.

481 Table 4 lists the key performance metrics for the proposed  
482 algorithm. Good energy resolutions and PID performances  
483 are achieved, indicating that the on-line algorithms in the  
484 FPGA are well formed, and the final DPP algorithm scheme  
485 can adequately meets the requirements.

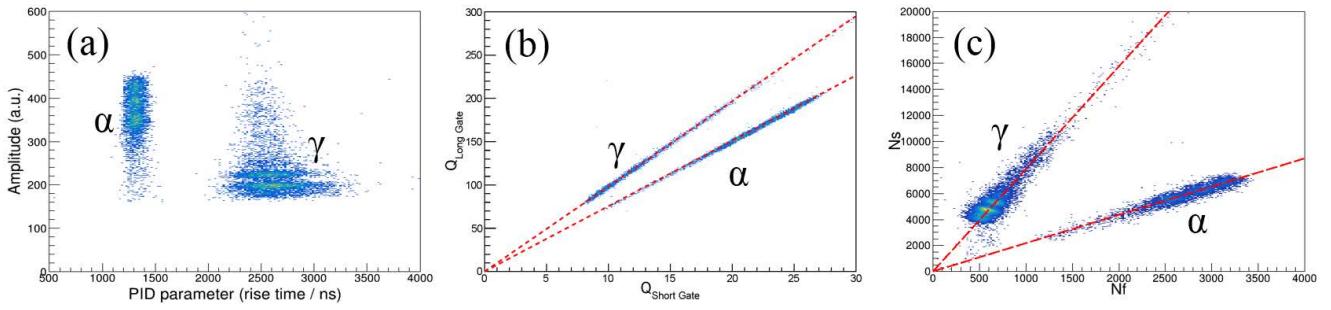


Fig. 9. 2-dimensions PID spectra for three methods: (a) rise-time comparison, (b) digital charge comparison, and (c) RPID. The  $Q_{LongGate}$  and  $Q_{ShortGate}$  are the two integral values in the long and short gates as shown in Figure 8. The  $N_s$  and  $N_f$  are the relative amplitude values of the slow and fast decay components of the CsI(Tl) crystal. Red dashed lines in (b) and (c) indicate that the spectra approach zero when both horizontal and vertical axis values are reduced. The rise-time comparison spectrum shows that rise time and amplitude of the waveform are weakly correlated and can be considered as independent. This indicates that the list of PID parameters in Table 3 is well defined owing to the nearly constant values of the PID parameters.

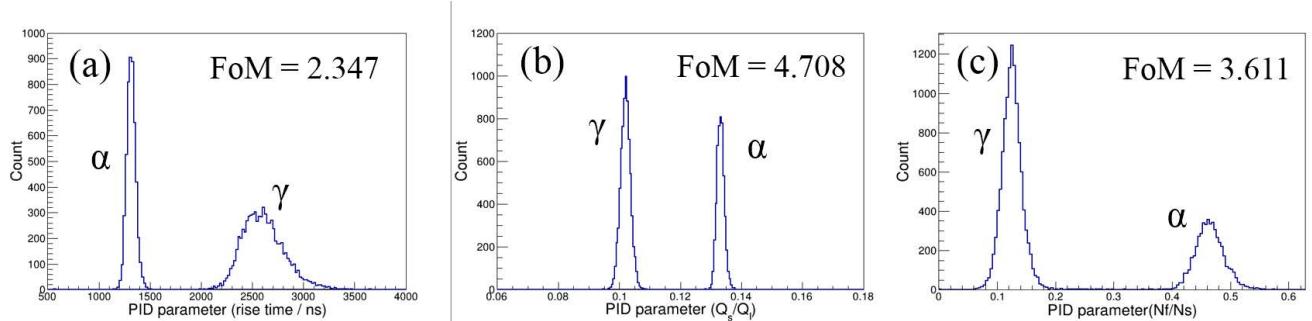


Fig. 10. Histograms of PID parameters including FoMs for three methods: (a) rise-time comparison, (b) digital charge comparison, and (c) RPID.

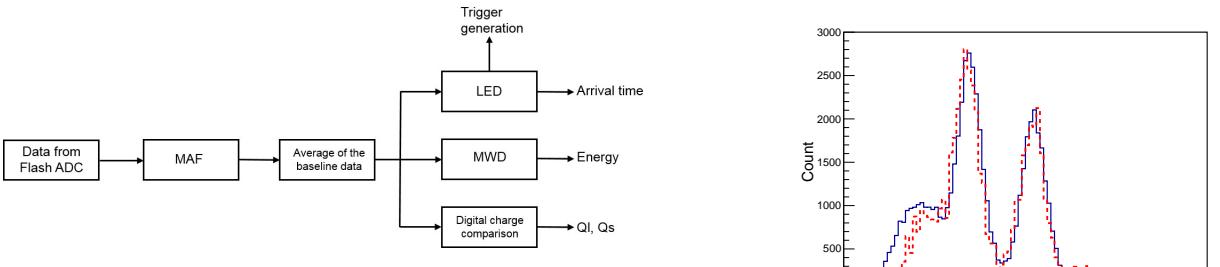


Fig. 11. Final DPP algorithm scheme in FPGA for the CsI(Tl) array at ETF.

TABLE 4. Major parameters obtained from Figures 12, 13 and 14 by on-line algorithms.

Parameters	Quantities
energy resolution(1.17 MeV gamma-rays)	6.3%
energy resolution(1.33 MeV gamma-rays)	5.7%
energy resolution(5.16 MeV $\alpha$ $^{239}\text{Pu}$ )	10.4%
energy resolution(5.48 MeV $\alpha$ $^{241}\text{Am}$ )	7.8%
energy resolution(5.80 MeV $\alpha$ $^{244}\text{Cm}$ )	6.1%
FoM(digital charge comparison)	4.995
FoM(Rising time comparison)	2.329

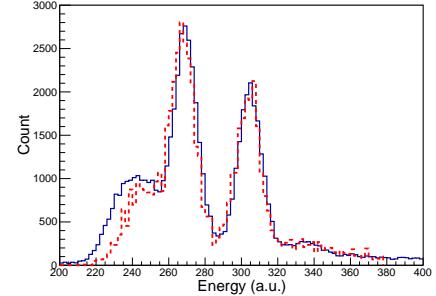


Fig. 12. (Color online) Energy spectra of  $^{60}\text{Co}$  radioactive source. The histogram shown by the blue solid line is obtained by the DAQ system algorithm mode and the on-line MWD algorithm, while the histogram shown by the red dashed line is obtained by the raw waveform mode and the off-line MWD algorithm.

486

## V. SUMMARY

487 In this study, a scheme for DPP algorithms was developed  
 488 for the CsI(Tl) array at ETF. A test bench with  $\alpha$  and  
 489  $\gamma$  sources was constructed to determine the algorithms in  
 490 each step, resulting in the following final scheme: moving

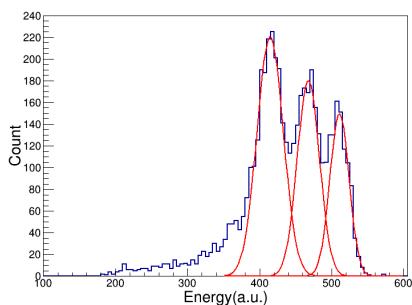


Fig. 13. (Color online) Triple  $\alpha$  sources energy spectra obtained by the on-line MWD algorithm. A triple Gauss function, shown by the red line, is used to fit the peaks.

491 average filter, baseline restoration, leading-edge discrimination,  
 492 moving window deconvolution, and digital charge com-  
 493 parison. Subsequently, the DAQ system algorithm mode is  
 494 completed using these DPP algorithms. It was identified  
 495 that the performance does not change significantly between  
 496 on-line and off-line algorithms. With the algorithm mode,  
 497 good performances in the energy spectrum and PID, as listed  
 498 in Table 4, are achieved, which indicates that the proposed  
 499 DPP algorithm scheme meets the requirements to upgrade the  
 500 CsI(Tl)array DAQ system at the ETF of the HIRFL-CSR.

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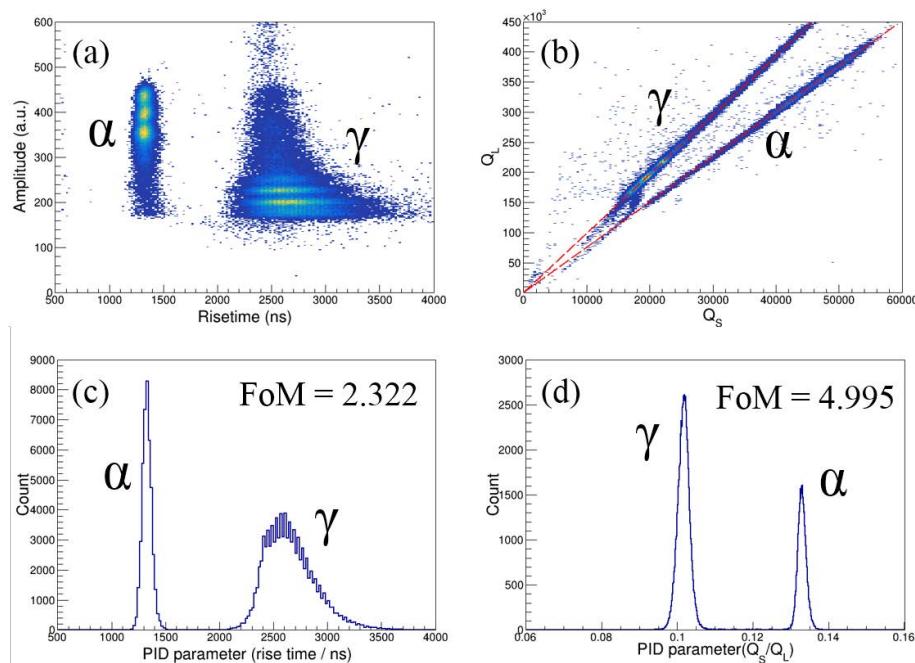


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